

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2010-09-15  
**Date of Last Change to Activities:** 2012-08-31  
**Investment Auto Submission Date:** 2012-02-27  
**Date of Last Investment Detail Update:** 2012-02-27  
**Date of Last Exhibit 300A Update:** 2012-08-31  
**Date of Last Revision:** 2012-08-31

**Agency:** 015 - Department of the Treasury      **Bureau:** 10 - Financial Management Service

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** Over the Counter Channel Application (OTCnet)

**2. Unique Investment Identifier (Ull):** 015-000000057

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Over the Counter Channel Application (OTCnet) is the Government's one system solution for the collection of over the counter revenue worth \$150 billion annually in checks, currency and coins transacted at federal agency locations worldwide. OTCnet is part of FMS's strategic Collections and Cash Management Modernization (CCMM) initiative, which seeks to redesign its business lines by having one system per channel and streamlines the collection process. By 2012, OTCnet will replace two legacy systems: the Paper Check Conversion Over the Counter (PCC OTC) and the Treasury General Account Deposit Reporting Network (TGANet) systems. Its functionality will be expanded beyond the capabilities of the legacy systems to facilitate the collection of over the counter (OTC) revenue in adherence to Government Wide Accounting Standards. Check processing can be done through a web-based interface and through offline client software. The offline client version for paper check conversion is a critical need of the OTCnet application since the system is used across the globe in remote locations without consistent internet connectivity. Future functionality enhancements for check processing include collecting government-wide accounting information at the point of entry and transmitting the information to TRS and GWA systems. The check processing functionality, which will be replacing PCC OTC, provides a practical and cost effective collection mechanism in a timely and secure manner. In addition to check processing, the deposit processing functionality of OTCnet which has replaced

TGAnet, addresses a gap in the FMS' end-state enterprise architecture and provides integrated accounting information to FMS' GWA system. It eliminates multiple copies of SF215 and SF5515 and the redundant data entry of deposit and accounting information. All approved deposit transaction is transmitted to TRS in a standard XML file. As OTCnet continues to on-board agencies and financial institutions on deposit processing, it is helping eliminate manual entries done in CashLink II which will sunset by 2012. The financial institutions include both commercial banks and Federal Reserve Banks. The primary beneficiaries of this investment are the end users from agencies, financial institutions and government as this investment yields substantial cost savings from decommissioning the two systems as well as providing efficiency gains through improved reconciliation processes.

**2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

OTCnet has combined the functionality of the two legacy systems: PCC OTC and TGAnet systems. TGAnet has been decommissioned and PCC OTC is scheduled to be decommissioned by 2012. OTCnet being the one system for over the counter channel, OTCnet has additional requirements to develop functionalities that will support the CCMM vision and improve government wide benefits and efficiencies. 2012 development projects include: implementation of GWA classification at the point of check capture and transmission of check transactions to TRS and processing corrections and adjustments in OTCnet. Corrections and Adjustments will be processed from Debit Gateway and also through a user interface for deposit processing. Both items will require significant development efforts in 2012 to have two development teams working in parallel to meet the critical timeline of 2012. GWA TRS Release is targeted for Sep. 2012 implementation. Corrections and Adjustments are planned for Dec. 2012 implementation. The two projects supports Treasury and FMS' strategic goal and objective of providing timely, accurate financial information that contribute to the improved quality of financial decision making, making collections on time and optimize the use of electronic mechanisms. With the implementation of the GWA/TRS release, agencies will be able to enter GWA classification information for both check and deposit processing. Currently, OTCnet allows agencies to classify data only through deposit processing part of OTCnet. By 2014, all federal agencies are required to submit TAS/BETC information on all transaction types. With the implementation of GWA/TRS release and Corrections and Adjustments release, TRS will receive all transactions processed through OTCnet and agencies will be able to use TRS as the single touch point for agency OTC reporting. The corrections and adjustments in OTCnet will help eliminate the manual entries in CashLink II which is critical for CashLink II retirement by 2012. An increase in funding for O&M is also included in this investment based on the projected operational cost of the system including support for 64 bit upgrade.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

OTCnet 1.0 was successfully implemented on February 5, 2011 providing an online web-based functionality for check processing and TGAnet's deposit processing. Following a brief 2 month pilot period, all TGAnet users were successfully migrated to OTCnet on March 19, 2011. TGAnet has been fully decommissioned in June 2011. A technology refresh with

Oracle and WebLogic 11g in OTCnet Release 1.1 was completed on March 5, 2011. OTCnet 1.2 was implemented on June 18, 2011 adding the offline check processing functionality to OTCnet which is a very important step to ensure that the PCC OTC application can be decommissioned by December, 2012. Since implementation, 7 PCC OTC Agencies with 85 locations have electronically processed checks through OTCnet. A conversion strategy is in place to convert all PCC OTC agencies to OTCnet by 2012.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

The implementation of GWA/TRS release is scheduled to be implemented in OTCnet Release 1.3 by September, 2012. The implementation will include capturing government-wide accounting classification at the point of entry for checks and transmitting the check information to TRS for check processing for the first time. Additionally, both deposit processing and check processing will utilize the new FMS Enterprise Schema v 4.6. The implementation of Corrections and Adjustments is planned in OTCnet Release 1.4 by December, 2012. The implementation will include processing corrections/adjustments transactions from Debit Gateway for Checks and developing user interface for entering corrections and adjustments in deposit processing. The deposit processing functionality will be used by both Federal Agencies and Financial Institutions. Additional accomplishments planned by December, 2012 and 2013 include converting all PCC OTC agencies to OTCnet and decommissioning the PCC OTC system. On-boarding new agencies to OTCnet will start in 2012. Also, a number of agencies and financial institutions using CashLinkII for manual entries will be converted to OTCnet as CashLink II will sunset by 2012.

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-09-15

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$3.0			\$0.1
DME (Excluding Planning) Costs:	\$11.3	\$8.8	\$17.3	\$3.0
DME (Including Planning) Govt. FTEs:	\$0.7	\$0.3	\$0.4	\$0.1
Sub-Total DME (Including Govt. FTE):	\$15.0	\$9.1	\$17.7	\$3.2
O & M Costs:	\$0.0	\$3.7	\$6.3	\$8.9
O & M Govt. FTEs:	\$0.0	\$0.1	\$0.2	\$0.2
Sub-Total O & M Costs (Including Govt. FTE):	0	\$3.8	\$6.5	\$9.1
Total Cost (Including Govt. FTE):	\$15.0	\$12.9	\$24.2	\$12.3
Total Govt. FTE costs:	\$0.7	\$0.4	\$0.6	\$0.3
# of FTE rep by costs:	6	3	3	4
Total change from prior year final President's Budget (\$)		\$0.0	\$17.3	
Total change from prior year final President's Budget (%)		0.00%	190.00%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

The summary of funding provided with this update includes the additional funding requested through the Baseline Change Request (BCR) process. Primary reason for the BCR is new functionality to be added to OTCnet in 2012. O&M cost has also been adjusted until 2019 due to expanded scope of system.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
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NONE

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**  
 Earned Value is required for this project.

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-08-31

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
1-3GWA/TRS	GWA/ TRS Release Implementation	The GWA/TRS Project will provide the functionality to enter Classification Key (C-Key) for check processing to support Government Wide accounting implementation in OTCnet project. Providing the information at detail check level will be mandatory for Agencies that are marked as "GWA Reporter". The release includes sending the information to TRS for the first time for check processing using XML Schema v4.6. Deposit processing side of OTCnet transmission to TRS will also be revised to use XML v4.6 schema. Interface specifications will be updated and tested for 3 interfaces including DECA, IRS and DDS systems.			
1-4Cor/Adj	Corrections/Adjustments	Corrections and Adjustments project will provide the functionality to process Corrections and Adjustments in OTCnet. Corrections and			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		Adjustments for checks will be processed from Debit Gateway to OTCnet and will be reported to TRS. For deposit processing, a user interface will be designed to enter the information into OTCnet and then transmit the information to TRS. Following the scope discussions, this project was cancelled in Feb. 2012.			
1-4 DSplit	1.4 Dynamic Split	The project will provide dynamic split functionality to agencies for check processing and transmitting the data to TRS and the Central Accounting and Reporting System (CARS). Agencies have expressed the need to be able to enter C-Key information at summary level for end of day processing for checks. In the absence of the dynamic split functionality in OTCnet, agencies will have to use reclassification process in GWA which will require agencies having the burden to reconcile between two different systems on an ongoing basis.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
1-3GWA/TRS	GWA/ TRS Release Implementation							
1-4Cor/Adj	Corrections/Adjustments							



## Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
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1-4 DSplit

1.4 Dynamic Split

## Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
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1-3GWA/TRS

GWA Design, Development and Initial Testing

The GWA/TRS project will begin with RAD Cycle 1 and perform requirements, design and development iterations to build the GWA/TRS functionality in a staged approach. The project consists of providing the agency users the ability to classify their check transactions with a Classification Key (C-Key) at the time of check capture or through automated feeds from DDS, DECA and IRS and modifying the deposit processing interface to TRS to utilize XML Enterprise Schema v4.6. Interface to TRS will be updated for both check processing and deposit processing using XML Schema v4.6. The following activities are planned for this work package: (a) completing detailed requirements and

2011-10-31

2011-10-31

2011-10-31

183

0

0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		associated artifacts to use cases, user interface specifications, workflows and other items through a series of 8 requirements iterations. (b) design will be completed for 7 design iterations. (c) 5 development iterations out of 12 iterations will be completed (d) System Test Plan, 508 Test Plan, Performance Test plan will be completed and, (e) Joint planning discussions will start with interface partners including TRS, Debit Gateway, DDS, IRS and DECA.						
1-4Cor/Adj	Oversight and Support of Corrections and Adjustments Scoping and Requirements	Project management activities of the Financial Agent in support of Corrections and Adjustments RAD Cycle 1 planning, requirements, design, and development activities. This is a LOE based activity that includes project schedule creation, weekly updates to schedule, risk and issue monitoring, EVM reporting and coordination with the project team members, stakeholders and	2011-12-31	2011-12-31	2011-12-31	121	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		interface systems. Deliverables will also include updating project level documentation.						
1-3GWA/TRS	Oversight and Support of GWA Final Requirements and Development	Project management activities of the Financial Agent in support of OTCnet GWA/TRS Release RAD Cycle 1 activities. This is a LOE based activity that includes project schedule creation, weekly updates to schedule, risk and issue monitoring, EVM reporting and coordination with the project team members, stakeholders and interface systems. Deliverables will also include updating project level documentation.	2011-12-31	2011-12-31	2011-12-31	121	0	0.00%
1-4Cor/Adj	Corrections and Adjustments Requirements, Design and Initial Development	In this work package, the Corrections and Adjustment project will complete the project requirements and begin a series of analysis, design and development iterations to build the Corrections and Adjustments functionality in a staged approach. The project will consist of 2 RAD cycles with multiple iterations for	2012-03-31	2012-03-31	2012-02-29	182	31	17.03%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		design, development and test activities. The full scope will consist of addressing deposit side corrections and reversals, processing Debit Gateway adjustment, corrections and reversals, transmitting Adjustment/Correction s/Reversals to TRS and addressing requirements related to CashLinkII retirement. The RAD Cycle 1 will include completing the detailed requirements and associated artifacts such as use cases, user interface specifications, work flows and other item through 7 requirements iterations, completing the design, completing 7 development iterations out of 12, completing test plans for System Test, Performance Test and 508 Test, and initiating joint planning sessions with interface systems.						
1-3GWA/TRS	GWA Development and Defect Fix Implementation	This work package relates to RAD Cycle 2 of GWA/TRS project completing the development cycles of this release and	2012-03-31	2012-03-31	2012-03-31	151	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		incorporating the production patches into this release. With the completion of the remainder of the 7 development iterations, code will be merged with the latest OTCnet v1.2 release code base. Test cases will be completed for 7 test iterations and automated stability test scripts and regression test scripts will be completed for both check processing and deposit processing.						
1-4Cor/Adj	Oversight and Support of Corrections and Adjustments Final Requirements and Development	Project management activities of the Financial Agent in support of Corrections and Adjustments RAD Cycle 1 and RAD Cycle 2 requirements, design, development and test preparation activities. This is a LOE based activity that includes project schedule creation, weekly updates to schedule, risk and issue monitoring, EVM reporting and coordination with the project team members, stakeholders and interface systems.	2012-06-30	2012-06-30	2012-02-29	181	122	67.40%
1-3GWA/TRS	Oversight and Support of GWA Development and	Project management activities of the Financial Agent in	2012-06-30	2012-06-30	2012-06-30	181	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
	Initial Testing	support of OTCnet GWA/TRS Release RAD Cycle 2 development and test activities. This is a LOE based activity that includes project schedule creation, weekly updates to schedule, risk and issue monitoring, EVM reporting and coordination with the project team members, stakeholders and interface systems.						

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
System Availability, percent of system will be available 24x7x365.	%	Technology - Reliability and Availability	Over target	90.000000	97.000000	100.000000	97.000000	Monthly
Provide Timely ACH File Processing via Debit Gateway. ACH/ Check 21 transactions received before the daily cutoff times of, 1:30AM (FedACH) and 8:30AM(Check 21), are sent on time to the Debit Gateway per month.	%	Technology - Efficiency	Over target	90.000000	94.000000	100.000000	94.000000	Monthly
Deposit reports received by OTCnet, before daily cutoff times of 2:30PM (Fedwire) and 9:10PM (End of Day), are transmitted on time to TRS per month.	%	Technology - Efficiency	Over target	90.000000	94.000000	93.000000	94.000000	Monthly
Monthly customer service telephone call abandonment rate will not exceed 3%	%	Customer Results - Timeliness and Responsiveness	Under target	3.000000	3.000000	1.400000	3.000000	Monthly
Percent of Deposit and Debit Voucher Reports that are available on time or delivered to agencies by 10AM daily.	%	Mission and Business Results - Support Delivery of Services	Over target	90.000000	100.000000	99.800000	100.000000	Monthly